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aty. Ref. No.: 010100-104

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all identified attachments are being deposited on September 13, 2000 with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to:

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ssistant Commissioner for Patents

ashington, D.C. 20231

Applicant: Graham Alexander Munro

**MURDOCH** 

Serial No.: PCT/AU99/00059 & 09/601,122

Filed: January 29, 1999

Title: A TRANSCEIVER Examiner: N/A

Group Art Unit 5611

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

**Box PCT Assistant Commissioner for Patents** Washington, D.C. 20231

## **RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35** U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US DATED AUGUST 14, 2000

Sir:

In response to the Notification of Missing Requirements of Application, enclosed herewith are the following:

- 1. Declaration and Petition (copy);
- 2. Power of Attorney (copy);
- 3. PCT/DO/EO/905;
- 4. Assignment (copy) for recordation from Graham Alexander Munro MURDOCH to MAGELLAN TECHNOLOGY PTY LIMITED, along with an Assignment Cover Sheet. Check No. 2058 in the amount of \$40 is enclosed to cover the recordation fee;
- 5. Verified Statement Claiming Small Entity Status (copy);
- 6. Petition to Correct Named Applicant;
- 7. Information Disclosure Statement Transmittal;

Ser. No.: PCT/AU99/00059 & 09/601,122 Atty Ref.: 010100-104

- 8. Form PTO-1449;
- 9. International Search Report (copy),
- 10. Verified Statement Claiming Small Entity Status and Request for Refund; and
- 11. Return post card.

Please charge any deficiencies and credit any overpayment involving these papers to our Deposit Account No. 50-0655. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

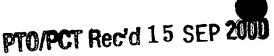
September 13, 2000

James A. Henricks, Reg. No. 31,168

Attorney for Applicant

# HENRICKS, SLAVIN & HOLMES LLP

840 Apollo Street, Ste. 200 El Segundo, CA 90245-4737 310-563-1456 310-563-1460 (fax) jhenricks@hsh-iplaw.com (Email) Serial No.; 09/601,122 Corresponds to PCT/AU99/00059



Atty. Ref. No. 010100-104

N/A

5611

Examiner:

Group Art Unit:

PATENT #

I certify that on September 13, 2000, which is the date I am signing this certificate, this correspondence and all listed attachments are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

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Assistant Commissioner for Patents,

Washington, D.C. 20231

James A. Henricks, 31,168

# IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

n Re Patent Graham Alexander Munro MURDOCH

Application Of:

Serial Number:

09/601,122 (PCT/AU99/00059)

Filed:

January 29, 1999

Title:

A TRANSCEIVER

VERIFIED STATATEMENT CLAIMING SMALL ENTITY

STATUS AND REQUEST FOR REFUND

Box PCT Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant hereby submits a small entity declaration verifying small entity status for this application. The application was filed on January 29, 1999, and the non-small entity filing fee of \$2,194,00 was paid July 27, 2000. The small entity filing fee is \$1,097.00.

This Request for Refund is being submitted within two months of the date that Applicant was notified of the U.S. serial number for this application, and therefore is believed to be timely. Because this is a National application filed through the PCT, Applicant cannot postpone the payment of the filing fee until such time as the Small Entity claim could be received.

Serial No.; 09/601,122 Corresponds to PCT/AU99/00059

Applicant requests a refund pursuant to amended 37 C.F.R. § 1.28(a) of the \$1,097.00 excess filing fee made to the undersigned's Deposit Account, No. 50-0655.

The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, relating to this communication to Account No. 50-0655. A duplicate of this pleading is enclosed. Please indicate Attorney Reference No.

Respectfully submitted,

Dated: September 13, 2000

James A. Henricks
Registration No. 31,168

HENRICKS, SLAVIN & HOLMES LLP

840 Apollo Street, Suite 200 El Segundo, CA 90245-4737 310-563-1456 310-563-1460 (fax) jhenricks@hsh-iplaw.com (Email) Serial No.: 09/601,122 Corresponds to PCT/AU99/00059

Atty. Ref. No. 010100-104

#### **PATENT**

Applicant: Graham Alexander Munro MURDOCH

Serial No.: 09/601,122

Corresponds to PCT/AU99/00059

Filed January 29, 1999 Title: A TRANSCEIVER

Examiner: N/A

Group Art Unit: 5611

Box PCT Assistant Commissioner for Patents

envelope addressed to:

Washington, D.C. 20231

I hereby certify that on 9-13-2000, which is

the date I am signing this certificate, this correspondence

and all listed attachments are being deposited with the

United States Postal Service as First Class Mail in an

James A. Henricks, 31,168

### TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

**Box PCT** Assistant Commissioner for Patents Washington, D.C. 20231

> VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 C.F.R 1.9(f) and 1.27(c)) — Small Business Concern

Sir:

I declare that I am the owner or an officer of the small business concern identified below, and I am empowered to act on its behalf:

Name of Concern: Magellan Technology Pty Limited

Address of Concern: **65 Johnston Street** 

Annandale, New South Wales 2038

**AUSTRALIA** 

I declare that the this small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.3-18, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under 35 U.S.C. § 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either,

directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

· 大学的现在分词

I declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to this invention, which is described in choose one: (the specification filed with this declaration) (application Serial No., filed.) (Patent No., issued.)

Choose one of the following two paragraphs and delete the other. If choosing this paragraph, list the persons or companies below. If more than one company, list the others. The rights held by this small business concern are exclusive.

The rights held by this small business concern are not exclusive. Each individual, concern or organization having rights to the invention is listed below. No rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. § 1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a non-profit organization under 37 C.F.R. § 1.9(e).

Full Name:

Magellan Technology Pty Limited 65 Johnston Street Annandale, New South Wales 2038 AUSTRALIA

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I declare that all statements made in this document of my own knowledge are true. I believe all statements made on information and belief are true. I make these statements with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing from the application.

31 August , 2000

MAGELLAN TECHNOLOGY PTY LIMITED

Name

EXECUTIVE DIRECTOR

Title